

# Assessment of *Helicobacter pylori* clarithromycin resistance mutations in archival gastric biopsy samples

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## Summary

**Aim:** First, to assess the clarithromycin resistance (Cla<sup>R</sup>) rate 1) in patients with persistent *Helicobacter pylori* (*H. pylori*) infection after eradication, 2) in patients with untreated infection and 3) in patients with successful status post eradication. Second, to evaluate the techniques sequencing and line probe hybridisation INNO-LipA for resolution of uniform and mixed populations in archival gastric biopsy samples.

**Methods:** The genomic 2142/43 23s rRNA mutations of the 50S ribosomal subunit conferring Cla<sup>R</sup> were detected by PCR-based assays.

**Results:** A total of 130 patients were investigated. Out of 21 patients of a first series with persistent infection after eradication, 19 (90%; CI (95%): 67–99%) exhibited point mutations at position 2142/43. In the second series of untreated patients, primary resistance was observed in 8 out of 93 patients (9%; CI: 4–16%). In a third series of 16 successfully eradicated patients, pure wild type

populations (WT; for loci 2142/43) without any minimal mutated part were found (resistance rate 0%; CI: 0–21%). Further, in all 24 biopsies with uniform mutated and in 8 of 11 biopsies with mixed populations the two molecular biological methods yielded concordant results (100%; CI: 86–100% and 73%; CI: 39–94%, respectively).

**Conclusion:** In the Baden region of Switzerland, most clarithromycin resistant *H. pylori* strains harbour mutations at position 2142/43. The primary resistance rate is below 10%. Mixed populations, even with minor mutated part, cannot become successfully eradicated. The two investigated techniques are equally valid for resolution of uniform mutated or mixed *H. pylori* populations in archival biopsy material.

**Key words:** *Helicobacter*; resistance; clarithromycin; 23s rRNA; gastric biopsy; histology

## Introduction

The Gram negative bacteria *Helicobacter pylori* colonize the human stomach with prevalence rates from 25% in western to over 90% in developing countries. Persistent infection is associated with chronic gastritis and peptic ulcer disease. After prolonged infection some patients will develop gastric cancer or non-Hodgkin lymphoma. *H. pylori* are eradicated by a triple or quadruple therapy regimen, containing a proton-pump inhibitor (PPI) and antibiotics, mainly clarithromycin and amoxicillin.

Multiple resistances of bacteria to antibiotic drugs are an increasingly encountered problem [1]. In the setting of *H. pylori* gastritis, the resistance against the macrolide component clarithromycin is particularly important, since this drug appears to

be effective against endocytosed intracellular bacteria [2] and is therefore considered essential for a successful treatment. The drug binds to the bacterial 50S ribosomal subunit and confers a translation block (3). Clarithromycin resistance (Cla<sup>R</sup>) of *H. pylori* is caused by point mutations of the genomic 23s rRNA, the main component of the 50S subunit, mostly at position 2142/43 (A2142 to G/C/T; A2143 to G/C) in the peptidyltransferase region of the V domain, thereby preventing drug binding [4]. Cla<sup>R</sup> is increasing due to widespread use of macrolides for other diseases in the western world.

We aimed (i) for assessing the rate of *H. pylori* genomic 2142/43 23s rRNA point mutations in patients with persistent infection (failed eradication),

with untreated infection and with successful status post eradication and (ii) for evaluating the two molecular biological methods sequencing and line

probe hybridisation for detection of uniform mutated and mixed mutated/wild type (WT) populations.

## Material and methods

### Patients

One hundred and forty-one patients were enrolled in the period of March 2002 till July 2003 and 130 of them were analysed. The first (AS) and last author (PK) diagnosed during this time range 12 patients with persistent *H. pylori* infection after clarithromycin containing eradication. This number was supplemented to 22 by equal cases from the 3 other staff pathologists of the institute (first series). Second, AS/PK diagnosed in the same time range 98 other patients with untreated *H. pylori* gastritis. Seventy-eight of the 98 patients were newly diagnosed by a first endoscopy, twenty patients had one or more previous *H. pylori*-positive biopsies in our institute, but did not receive an eradication therapy (second series). Third, AS/PK diagnosed in the same time range successful status post eradication in another 21 patients (third series). Eleven patients were then removed from further analysis because of unclear therapy (6 patients), not amplifiable DNA, not interpretable sequence (3 patients), concomitant celiac disease (1 patient) or lambliaiasis (1 patient). Patients with histologically documented gastro-oesophageal reflux disease (37 patients), ulcer (28) and partial gastrectomy (1 patient) remained. Biopsies and clinical data were obtained from 9 gastroenterologists who constitute the regional gastro-enterological service. Clinical data were also obtained from general practitioners. A total of 181 gastric biopsies (177 antrum, 4 corpus) were analysed. In patients with failed eradication, the pre-eradication biopsies were analysed additionally when available. In the 2 patients with failed eradication and WT strain, both pre- and post-eradication biopsies of antrum and corpus were investigated. Clarithromycin was included in all eradication regimens. Further components were the antibiotics amoxicillin, metronidazol and ornidazol as well as different proton pump inhibitors (PPI).

### Controls

As positive controls we used the *H. pylori* strains V15-37469 (Cl<sup>S</sup>, wild type), V15-37400 (Cl<sup>R</sup>, A2143G) and V15-4434 (Cl<sup>R</sup>, A2143G), kindly provided by Dr. R. Zbinden, Institute of Microbiology, University of Zürich. In brief, biopsy specimens were spread over the surface of Wilkins-Chalgren agar, supplemented with human blood and antibiotics (vancomycin, cefsulodin, trimethoprim and actidione). Plates were incubated for 4 days at 37 °C in a microaerophilic atmosphere and *H. pylori* was identified by typical morphology in a Gram stain and positivity for catalase, oxidase and urease. Susceptibility testing was performed by inoculation of sheep blood agar plates with *H. pylori* isolates followed by application of E-test stripes (AB Biodisk, Solna, Sweden). A breakpoint of >1 µg/ml was used for clarithromycin resistance. Ileum mucosa, a

was deferens specimen and blood DNA from healthy individuals served as negative controls.

### DNA extraction

Samples were fixed in buffered formaldehyde and processed by paraffin-embedding and H&E-staining. Additionally, a Giemsa-C and alcian-blue/periodic acid schiff (AB-PAS) stained sections were produced. From the paraffin blocs fifty µm (5 × 10) sections were cut on a microtome and dewaxed in a microtube with 500 µl of xylene at 50° for 15 min followed by washes with 100% and 70% ethanol. The microtome was cleaned and the blade changed after each bloc. The pellets were digested with proteinase K (1.75 mg/ml) in 100 µl digestion buffer (100 mM Tris pH 8.0, 5 mM EDTA, 0.5% NP40, 0.5% Tween-20) at 54° for 24 hrs, followed by enzyme inactivation at 94° for 10 min. An aliquot of the extraction digest was used for PCR.

### Polymerase chain reaction, restriction digest and sequencing

PCR was performed on a 6700 cycler (Applied Biosciences) with two sets of primers: First was the primer pair CRF-4/CRR-1 (forward primer 5'-AGTGGAGGT-GAAAATTCC-3', reverse primer 5'-TAAGAGCCAAA-GCCCTTAC-3') with a product length of 135 bp (5). Second was the primer mix from the line probe reverse hybridisation clarithromycin resistance kit (INNO-LiPA, Innogenetics, Netherlands [6]). A standard PCR reaction of 50 µl and 45 cycles, using 1 µl of digestion extract was run with 30 sec denaturation at 94°, 30 sec annealing at 55° for the first and 62° for the second primer pair and 30 sec of extension at 72°. Products were analysed by gel electrophoresis after restriction digest with Mbo2 (A2142G) and BsaI (A2143G). For sequencing, a second PCR with labelled dNTP's was performed as described [7].

### Single strand conformational polymorphism analysis (SSCP) and single base pair mismatch hybridisation

SSCP was performed as described [8]. The line probe kit assay was performed according to the manufacturer's recommendations in a closed water bath by hybridisation of the PCR product at 50° for one hour, followed by a stringent wash at 50° for 30 min and visualization reaction.

### Statistical analysis

Data were analysed by the scientific Geigy tables indicating the exact 95% confidential borders for binomial distributions (Documenta Geigy, scientific tables, Basel 1980).

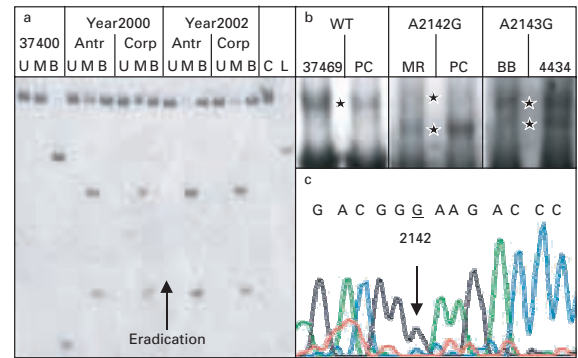
## Results

We first analysed 21 patients with persistent *Helicobacter pylori* infection after a clarithromycin containing eradication regimen in order to assess the local rate of *H. pylori* 2142/43

23s rRNA mutations in this population. Nineteen patients had obtained a triple therapy with clarithromycin and PPI plus amoxicillin (15 times) or metronidazol (3 times) or ornidazol (once). Seven

**Figure 1**

Patient MR with eradication failure between consecutive biopsies in year 2000 and 2002. a) Restriction digest with Mbo2 (M, A2142G) and Bsa1 (B, A2143), U undigested fragment, C undigested WT control, L 100 bp ladder fragment, very left Cla<sup>R</sup> strain V15-37400 (A2143G). b) SSCP analysis: Left, single band (asterisk) for the WT strain V15-37469 and a control patient (PC). Middle, shifted band towards the bottom pole with widely spaced minor band above (asterisks) for the patient MR and a positive control patient (PC). Right narrowly spaced double bands (asterisks) for patient BB presented in Fig. 2 and the strain V15-4434 (both A2143G). c) Sequencing analysis.

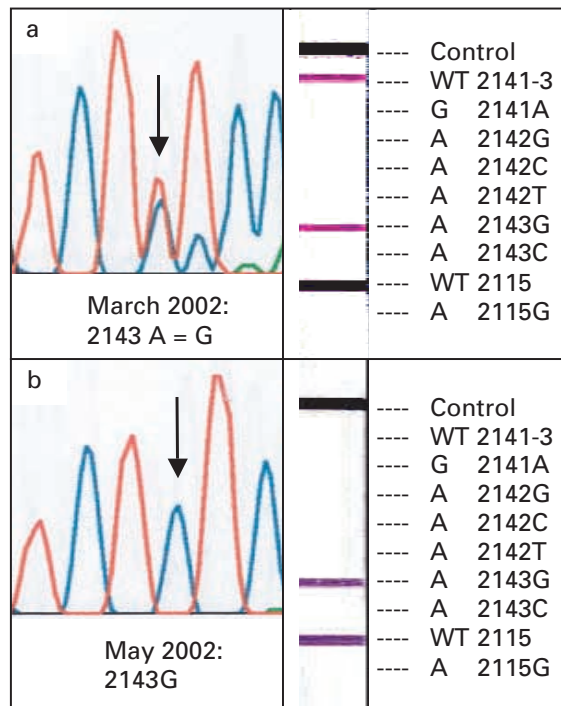


strand, and to use the line probe kit assay for confirmation of positive results for all further patients. In 19 of these 21 patients (90%; 95%-confidence interval: 69.6–98.8%) the *H. pylori* strain displayed a point mutation of the genomic 23s rRNA at position 2142/43 after therapy. The remaining two patients, thoroughly analysed in both pre- and post-therapeutic antrum and corpus biopsies, did not harbour mutated microbes. In the second series, 8 of 93 patients (9%, 95%-CI: 3.8–16.3%) with untreated infection carried mutated strains. Thirdly, a series of 16 successfully eradicated patients was investigated. Post-therapy biopsies were scored *H. pylori* negative on H&E and Giemsa-C staining and controlled by immunohistochemistry using a polyclonal anti-*H. pylori* antibody (DAKO-Cytomation) and PCR amplification for absence of microbes. 15 of these 16 patients were eradicated with a triple therapy regimen, always including clarithromycin and a PPI. Nine patients obtained in addition amoxicillin, 4 metronidazole and 2 ornidazole. One patient was eradicated only with clarithromycin. In all 16 pre-eradication biopsies *H. pylori* strains were WT for loci 2142/43 without any minimal mutated moiety (resistance rate 0%; 95%-CI: 0–20.6%).

The mutation frequency distribution for the three series is given in table 1. For 13 of the 19 patients with failed eradication and mutated microbes, an available pre-therapy biopsy was also investigated (table 2). A pure WT strain was found in 5 of the 13 patients (38%) and a pure mutant one in 3 (24%). The other 5 patients (38%) harboured a mixture mutant/WT, 3 of them with only a minor mutated moiety. The evolution of the *H. pylori* population after therapy is also demonstrated on table 2: the already pure mutated strains remained constant post eradication and the mixed mutant/WT ones changed to pure mutant. The 5 pure WT ones changed to pure mutant. Interestingly, in one patient (Nr. 85) the 2142 A to G mutation was completely lost after therapy. In the total

**Figure 2**

Patient BB with *H. pylori* pangastritis, subcardial ulcer, treatment failure and persistent infection eight weeks later. Sequencing analysis (left, reverse strand) and clarithromycin-strip (right) of the antrum biopsy in March (a, upper panels) and May 2002 (b, lower panels), indicating a mixed WT/mutant population before therapy for the locus 2143, with A/T roughly equal to G/C and a pure mutant situation after therapy.



of them were treated repetitively. The other 2 patients were treated with a double therapy of clarithromycin and PPI. All patients were diagnosed positive for *H. pylori* microbes on conventional H&E and Giemsa-C sections. These 21 samples of the antrum were first analysed by restriction enzyme digest with Mbo2 and Bsa1, single strand conformational polymorphism (SSCP), DNA sequencing and line probe reverse hybridisation (figures 1 and 2). The restriction enzymes Mbo2 and Bsa1 cut when 2142 or 2143 are mutated from A to G, respectively. SSCP yielded specific band shifts for the mutations A2142/43G in the polyacrylamide gel (downward band shift and a widely spaced minor band above for A2142G and narrowly spaced double bands for A2143G). Both methods do not resolve the mutation A2142C. We thus decided to first apply DNA sequencing with the advantage of an internal control by the reverse

**Table 1**

Summary of the mutation frequency and spectrum in the 3 study populations analysed.

	n (enrolled)	n (analysed)	WT	2142G	2142C	2143G	mixed
a failed eradication	22	21	2	4	3	9	3
b untreated infection	98	93	85			5	3
c successful eradication	21	16	16				
Total	141	130	103	4	3	14	6

**Table 2**

Comparison of the two molecular biological methods sequencing and line probe hybridisation for resolution of uniform mutated and mixed mutated/WT populations in either pre- or post-therapeutic antrum biopsies (bold: one moiety detected by only one technique).

	Pat. Nr.	before therapy		after therapy	
		sequencing	line probe	sequencing	line probe
a failed eradication	72	<b>2143G</b>	<b>2143G&gt;A</b>	2143G	2143G
	78	2143A>G	2143A>G	2143G	2143G
	79	2142G	2142G	2142G	2142G
	81	2143A>G	2143A>G	2143G	2143G
	83	2143A>G	2143A>G	2143G	2143G
	84	2143G	2143G	2143G	2143G
	85	<b>2142A&gt;G, 2143A=G</b>	<b>2142G, 2143G</b>	2143G	2143G
	91	2142C	2142C	2142C	2142C
	74	WT	WT	2143G	2143G
	75	WT	WT	<b>2142G&gt;A, 2143A=G</b>	<b>2142G, 2143 G&gt;A</b>
	86	WT	WT	2142C	2142C
	90	WT	WT	2143G	2143G
	92	WT	WT	2142G	2142G
	73	no biopsy available	no biopsy available	2142C	2142C
	77	no biopsy available	no biopsy available	2143 A>G	2143G>A
	87	no biopsy available	no biopsy available	2142A>G, 2143A>G	2142A>G, 2143A>G
	88	no biopsy available	no biopsy available	2143G	2143G
	89	no biopsy available	no biopsy available	2142G	2142G
	93	no biopsy available	no biopsy available	2142G	2142G
	b untreated infection	94	2143G	2143G	no biopsy available
99		2143A=G	2143G>A	no biopsy available	no biopsy available
105		2142G>A	2142G=A	no biopsy available	no biopsy available
5		2143G	2143G	no biopsy available	no biopsy available
21		2143G	2143G	no biopsy available	no biopsy available
30		2143G	2143G	no biopsy available	no biopsy available
34		2143G>A	2143G>A	no biopsy available	no biopsy available
38		2143G	2143G	no biopsy available	no biopsy available
Total	27				

of the first and second series, the A2143G mutation was predominant with 52% followed by mixed populations (22%), A2142G (15%) and A2142C (11%). Mutations at position 2115 (A to G), 2141 (G to A), 2142 (A to T) or 2224 (G to A), as described [4, 9], were not found.

Finally we compared the two methods DNA sequencing and line probe reverse hybridisation, particularly for resolution of mixed populations (table 2). Uniform mutated or mixed mutated/WT

*H. pylori* populations were found in a total of 35 antrum biopsies from 27 patients. All 24 biopsies with uniform mutated and 8 of 11 biopsies with mixed mutant/WT populations yielded concordant results for the two molecular biological methods (100%; 95%-CI: 86–100% and 73%; 95%-CI: 39–94%, respectively). In the remaining 3 of these 11 biopsies, the mixed state was detected only by sequencing (2 biopsies), or only by line probe hybridisation (1 biopsy).

## Discussion

We have used formalin-fixed and paraffin-embedded archival gastric biopsy samples from daily routine pathological practice because of the possibility of combining morphological, immunohistochemical and molecular biological data of the *H. pylori* gastritis and of following the disease over years. In our region, the diagnosis is nowadays mostly done by endoscopy with biopsy, followed by histology.

The clarithromycin resistance rate in patients with untreated *H. pylori* gastritis is 9% and corre-

sponds well with the published prevalence range of 0 to 15% [10, 11]. In patients with persistent infection after eradication, it is very high, possibly up to 100%. Most patients carried *H. pylori* strains with point mutations at position 2142/43. The 2143 G to A mutation was most frequent, as described in the literature [6, 12]. Further mutations have been described at position 2115 (A to G), at 2141 (G to A), at 2142 (A to T) and recently at 2717 (T to C) in Italy as well as 2224 (G to A), 2245 (C to T) and 2289 (T to C) in China, conferring re-



sistance levels of 1 µg/ml (T2717C) to >256 µg/ml (A2142G) [4, 9, 13, 14]. Our PCR strategy did include positions 2115, 2141 and 2224, but not 2245, 2289 and 2717. Mutations at 2115, 2141 and 2224 were not found. Thus the 2 patients with persistent infection and *H. pylori* WT sequence at position 2142/43 either carry a strain with mutations at position 2245, 2289, 2717 or elsewhere in the genome, or had a compliance problem. Nevertheless, 90% of the patients with persistent disease had mutations at loci 2142/43, which seem to be predominant in local strains. Mutations at other positions thus are likely to be infrequent in our region.

Most Cla<sup>R</sup> bacteria have been found to harbour identical mutations in both genomic copies of the 23S rRNA operons, a factor that could explain the relative low transformation frequency [4, 9, 15]. Heterozygosity thus rather means mixed infection with coexistence of WT and mutant bacteria of the same strain or different strains in the same patient [15-17]. In our study, the pre-therapeutic biopsies of the patients with persistent disease do show that the selection of the mutant moiety of a mixed population happens quickly in terms of weeks as described [5]. A pure mutant population might also rise out of a pre-therapeutic pure WT one, at least when assessed by our assays, or an already established mutation might be overgrown by another, as demonstrated in Pat. Nr. 85; reflecting the heterogeneous and highly mutating characteristics of *H. pylori* populations in an individual human stomach [18]. Under the assumption that amoxicillin acts only on extracellular microbes, whereas clarithromycin acts on intracellular as well as extracellular microbes, we hypothesised that a mixed population with a minor mutated part and lacking adhesion molecules like eg. babA or oipA [19] might be eradicated only by amoxicillin and/or metronidazol. However, in our third series we have not found such a population, as all were pure WT before eradication. Concerning

metronidazol, the *H. pylori* resistance rate is currently about 25% in Switzerland [11]. Therefore, this antibiotic should not be used for eradication.

When evaluating the different methods for detection of clarithromycin mutations, we have found good concordance between the DNA sequencing approach and the clarithromycin resistance kit INNO-LiPA with a line probe reverse hybridisation technique. Both methods seem to be equally valid for assessment of either pure mutated or mixed mutated/WT *H. pylori* populations in formalin-fixed and paraffin-embedded gastric biopsy samples, and are able to indicate a valid overview of the population's resistance status. For precise resolution of mixed populations, repetitive PCR reactions, use of multiple locations and single colony isolation out of cultures are needed. In particular, the interesting issue of heteroresistance between antrum and corpus has been addressed recently [20]. It raises the question how many biopsies from how many locations are required to obtain a full overview of the *H. pylori* resistance status in the stomach.

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