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Adequacy of stress ulcer prophylaxis prescription in the intensive care unit: an observational study

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Summary

PURPOSE: Stress ulcer prophylaxis prescriptions might not be sufficiently challenged throughout a patient's stay in an intensive care unit (ICU) and might be erroneously maintained after ICU discharge. This study aimed to determine (1) stress ulcer prophylaxis adequacy in ICU and (2) the proportion of patients receiving inappropriate stress ulcer prophylaxis after ICU discharge.

MATERIAL AND METHODS: This was an observational, single centre study (University Hospital Lausanne, Switzerland). All patients without a previous indication for acid-suppressive therapy and admitted to our ICU for >24 hrs during a two-month period were included. The adequacy of stress ulcer prophylaxis prescriptions according to our guidelines was assessed. We then assessed stress ulcer prophylaxis prescriptions and their adequacy on ICU and hospital discharge, as well as the costs associated with inadequate prescription.

RESULTS: Of the 372 patients admitted during the study period, 140 (855 patient-days) fulfilled the inclusion criteria. Of these, 130 (92.9%) received stress ulcer prophylaxis in the ICU (796 [93.1%] patient-days). Stress ulcer prophylaxis consisted of esomeprazole in 686 (86.2%) patient-days. Overall, stress ulcer prophylaxis was inadequate in 558 (65.3%) patient-days, mostly because it was prescribed while not indicated (543 patient-days [63.5%]). On ICU discharge, stress ulcer prophylaxis prescription was inadequately maintained in 55 patients (51.9% of survivors). Similarly, stress ulcer prophylaxis was inadequately maintained on hospital discharge in 30 (28% of survivors) patients. We estimated the in-hospital cost of inadequate stress ulcer prophylaxis prescription as approximately CHF 2870 per year. Outpatient therapy maintenance would be associated with additional costs ranging from CHF 33,912 to 92,692 (EUR 31,832 to 87,012) for each additional year they receive the therapy, depending on the medication used.

CONCLUSIONS: The adequacy of stress ulcer prophylaxis in the ICU is low. In addition, the prescription is frequent-

ly continued after ICU and many patients are even discharged home with inadequate acid-suppressive therapy.

Keywords: stress ulcer prophylaxis, critical illness, health economics, therapy adequacy

Introduction

Stress ulcers have long been a feared complication of critical illnesses. They are thought to be related to a reduction in mucosal blood flow and/or a decrease of mucosal defence mechanisms against acid and pepsin. Usually located in the gastro-duodenal area, severe stress ulcers can lead to catastrophic bleeding [1, 2].

The incidence of stress ulcers is low nowadays (less than 5% of critically ill patients), probably thanks to the implementation of prophylactic measures collectively referred to as stress ulcer prophylaxis [3, 4]. Stress ulcer prophylaxis includes non-pharmacological measures that should probably be universally applied to patients admitted to the intensive care unit (ICU): early enteral nutrition, avoidance of gastrotoxic drugs and early shock reversal [5]. Pharmacological measures include proton pump inhibitors (PPIs) and H₂ receptor antagonists (H₂RA). Such therapy is generally viewed by clinicians as cheap and well tolerated. It is therefore prescribed to a very large proportion of patients in the ICU [6]. However, the indications and duration of this widespread prescription are being increasingly debated. Indeed, given the number of patients treated, inadequate stress ulcer prophylaxis prescription will have cost implications. In addition, recent data have challenged its safety and suggested that it could be associated with an increased incidence of complications such as pneumonia, Clostridioides difficile colitis [7] or cardiovascular events

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ABBREVIATIONS:

PPI

aPTT activated partial thromboplastin time

CDI Clostridioides difficile infection

H₂RA H₂ receptor antagonists

ICU intensive care unit

INR international normalised ratio

proton pump inhibitor

[8, 9]. These complications might lead to increased mortality, length of stay and costs. Hence, the adequacy of stress ulcer prophylaxis administration represents an important health issue.

Several reports have suggested that stress ulcer prophylaxis prescription during ICU stay might be inadequate [6, 10, 11]. In the absence of a strong consensus on initiation criteria, this inadequacy seems mostly related to stress ulcer prophylaxis maintenance after the critical phase. Stress ulcer prophylaxis prescription appears to be insufficiently challenged throughout ICU stay, and on many occasions is maintained on ICU, or even on hospital, discharge. The Swiss Society of Intensive Medicine, as part of its "Choosing wisely" campaign, has recently recommended assessing the adequacy of stress ulcer prophylaxis, as well as of other common practices in intensive medicine [12].

This study aims to evaluate the frequency and adequacy of stress ulcer prophylaxis prescription in a tertiary ICU of a Swiss university hospital. In addition, it aims to evaluate stress ulcer prophylaxis duration and its continuation on ICU and hospital discharge, as well as its potential health economics consequences.

Methods

Study design

This was a monocentric, observational study conducted in the adult intensive care unit (ICU) of the Centre Hospitalier Universitaire Vaudois (CHUV), a tertiary, university-affiliated hospital. This is a 35-bed ICU with approximately 2000 admissions per year. All consecutive patients admitted between 1 October and 5 December 2017 were considered for inclusion in the study. Exclusion criteria were: ICU length of stay less than 24 hours, pre-existing acid-suppressive therapy prior to admission, admission for a gastrointestinal haemorrhage, prior ICU admission in the same hospital stay, and declined consent for data reutilisation.

Ethics

The study protocol was approved by the Ethics Committee of Vaud (CER-VD 2018-00568). The need for specific individual informed patient consent was waived due to the observational nature of the study. Patients who declined our institution's general consent for data reutilisation were excluded from the study.

Data collection

All data were obtained using electronic chart records (Metavision®, IMD Soft, Tel Aviv, Israel and SOARIAN®, Cerner, North Kansas City, USA). We collected the following data: sex, age, history of gastrointestinal bleeding, PPI or H₂RA receipt on ICU admission, diagnosis, corticosteroid treatment, mechanical ventilation duration, enteral nutrition, and laboratory tests results. For patients with multiple ICU stays, only the first was considered for baseline data, and only the last for outcome data.

Adequacy of stress ulcer prophylaxis prescription

Stress ulcer prophylaxis indication

For each patient and each study day, the presence of an indication for stress ulcer prophylaxis was assessed. During the ICU stay, based on our local policy, stress ulcer prophylaxis was considered as indicated in the following situations: platelet count <50 G/l, international normalised ratio (INR) >1.5, aPTT (activated partial thromboplastin time) >2 times baseline, prolonged (>48 hrs) mechanical ventilation, history of gastrointestinal bleeding, traumatic brain injury, spinal trauma, severe (>20% body surface area) burn and shock. In addition, in other situations (prolonged [>7 days] ICU admission and need for high-dose [>250 mg equivalent hydrocortisone] corticosteroids), our local policy recommends "considering stress ulcer prophylaxis". These last two criteria were considered as minor criteria.

Outside of the ICU, our institutional guidelines recommend against stress ulcer prophylaxis.

Stress ulcer prophylaxis prescription adequacy

For each patient and each study day, the actual prescription of stress ulcer prophylaxis was assessed. The assessment was performed by two investigators (JP for ICU stays and MF for ward stays). For each study day, patients' medical charts were screened for an indication for stress ulcer prophylaxis and for its prescription. The prescription was considered adequate if: (1) the drug was prescribed in the presence of a recognised indication (above), (2) the drug was not prescribed in the absence of a recognised indication (above) or (3) if our local guidelines recommended "considering stress ulcer prophylaxis", irrespective of the actual drug prescription. All other situations were considered as inadequate. Unclear situations were discussed with the senior investigators (PV and AS).

After ICU discharge, including on hospital discharge, stress ulcer prophylaxis continuation was considered adequate if a new indication for PPI or H2RA was present, as defined by the US Food and Drug Administration (FDA) and the UK National Institute for Health and Care Excellence (NICE) guidelines (supplementary table S1 in appendix 1) [13–17].

Financial impact evaluation

In order to evaluate the societal impact of inadequate stress ulcer prophylaxis prescription, we estimated the direct costs associated with inadequate stress ulcer prophylaxis prescription. This evaluation was performed for the entire ICU stay based on the number of patients-days during which the therapy was prescribed while not indicated, the type of stress ulcer prophylaxis and its specific daily cost. This cost was then extrapolated to an entire year, assuming a similar inadequate to adequate therapy ratio.

In a second step, we estimated the potential costs associated with inadequate stress ulcer prophylaxis maintenance on hospital discharge. We based our estimation on the number of patients discharged with a stress ulcer prophylaxis prescription in the absence of an indication for acid-suppressive therapy and the daily cost of such therapy. We report the additional costs associated with mean therapy durations of 3 and 12 months after ICU discharge.

All costs were estimated based on the ex-factory prices for stress ulcer prophylaxis medications. Since a large number of preparations are available, we report the range (minimum and maximum) of prices for each situation. The prices and elements taken into account for the calculations are presented in the appendix 1 (supplementary figs S1–S3).

Statistical analyses

Continuous data with normal distributions are reported as mean (standard deviation). Non-normally distributed data are reported as median (inter-quartile range). Ordinal data are reported as number (percentage). SPSS version 25 was used for statistical analysis.

Results

During the study period (fig. 1), 372 patients were admitted to our ICU. Of these, 63 (16.9%) were on long-term acid-suppressive therapy, 131 (35.2%) stayed less than 24h in the ICU, 10 (2.7%) had already been admitted to the ICU, 14 (3.7%) had acid-suppressive therapy initiated for gastrointestinal bleeding and 14 (3.7%) denied their institutional consent to participate in research. Hence, 140 patients (855 patient-days) were included in this study's analysis. Their characteristics on ICU admission are presented in table 1. In summary, around two thirds were men (96 men, 44 women), median age was 65 years (17–92) and median length of stay in the ICU was 3.8 days (1–47).

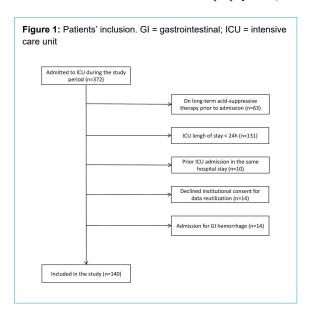
Stress ulcer prophylaxis prescription

Over the study period, 130 (92.9%) patients received stress ulcer prophylaxis at some stage during their ICU stay. This corresponded to 796 (79.7%) patient-days.

The drug administered as stress ulcer prophylaxis was esomeprazole in 686 patient-days (86.2%), ranitidine in 108 patient-days (13.6%) and both in 2 patient-days (0.2%, intra-day prescription modification).

Stress ulcer prophylaxis indications

As presented in figure 2, mechanical ventilation was the most common indication for stress ulcer prophylaxis (461



patient-days, 54.0%), followed by shock (66 patient-days, 7.7%) and traumatic brain injury (66 patient-days, 7.7%). Prolonged ICU stay was also very common (350 patient-days, 40.9%), and a major criterion was present for 315 patient-days.

Adequacy of stress ulcer prophylaxis prescription

As depicted in figure 3, stress ulcer prophylaxis prescription was adequate in 297 patient-days (34.7%): it was prescribed with a recognised indication in 253 patient-days (29.6%) and not prescribed in the absence of an indication in 44 patient-days (5.1%). On the other hand, stress ulcer prophylaxis prescription was inadequate in 558 patient-days (65.3%): stress ulcer prophylaxis was prescribed in the absence of a recognised indication in 543 patient-days (63.5%) and not prescribed in the presence of a recognised indication in 15 patient-days (1.8%).

Stress ulcer prophylaxis continuation on ICU discharge

Of the 130 patients who received stress ulcer prophylaxis at any time during their ICU stay, 106 (81.5%) survived to ICU discharge. Of these, eight (7.5%) developed an indication for acid-suppressive therapy during their stay and were prescribed the therapy on ICU discharge. Twelve (11.3%) were transferred to another acute hospital with an ongoing stress ulcer prophylaxis prescription (nine with stress ulcer prophylaxis, including six without an indication). Finally, 55 (51.9% of survivors) were transferred to the ward while still receiving stress ulcer prophylaxis without a recognised indication (fig. 4).

Stress ulcer prophylaxis continuation on hospital discharge

Of the 55 patients discharged to the ward with an ongoing stress ulcer prophylaxis prescription, five (9.1%) died while on the ward. Of those who survived to hospital discharge, three (6.0%) developed a new indication for acid-suppressive therapy during their post-ICU hospital stay. One had incomplete data, so discharge treatment could not be verified. However, 30 patients (60%, 30.3% of all survivors) were discharged from the hospital on acid-suppressive therapy without a recognised indication.

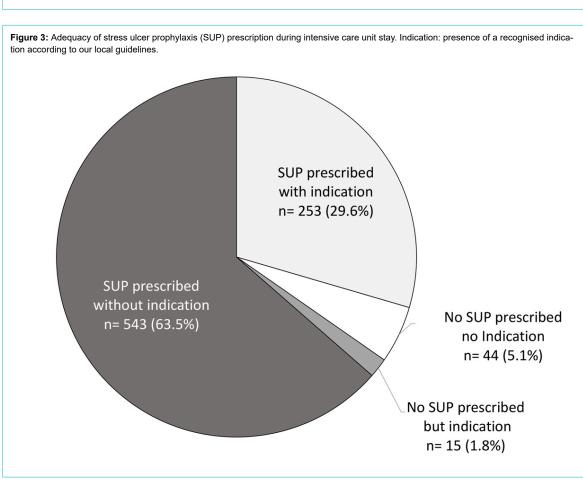
Financial impact of inadequate stress ulcer prophylaxis prescription

Based on ex-factory prices, the excess costs associated with inadequate therapy during the study period correspond to CHF 1220 (approx. EUR 1100). Extrapolated to

Table 1: Patients' characteristics (n = 140).

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Median age, (IQR) years	65 (19–92)
Male sex, n (%)	96 (68.6%)
Median length of stay, (IQR) days	3.8 (2.4–7.9)
Median SAPS II score, (IQR)	44.5 (33.0–60.0)
Patients' category (on ICU admission), n (%)	
- Medical	42 (29%)
- Cardiac medical	29 (20%)
- Cardiothoracic surgery	29 (20%)
- Neurosurgery	18 (12%)
- Surgery (others)	22 (13.9%)
ICU mortality, n (%)	24 (17.1%)
Hospital mortality, n (%)	27 (20.7%)

Figure 2: Criteria for stress ulcer prophylaxis (SUP) prescription in the intensive care unit. Number of patients-days during which criteria for SUP prescription were fulfilled according to our local guidelines (more than one criterion might be fulfilled in a single patient-day). aPTT = activated partial thromboplastin time; GI = gastrointestinal; ICU = intensive care unit; INR = international normalised ratio; TBSA = total body surface area 461 450 400 350 350 300 Patient- Days 250 200 150 100 13 Mechanical History of GI Traumatic brain Severe Burns Platelet count Prolonged aPTT Prolonged INR Spinal trauma Prolonged ICU High dose ventilation (>20% TBSA) <50 G/I bleeding admission (> 7d) corticosteroid



our entire ICU population, this would correspond to an excess cost of CHF 6743 (approx. EUR 6000) per year.

Depending on the type and brand of stress ulcer prophylaxis used, the excess costs associated with inadequate stress ulcer prophylaxis prescription on hospital discharge would range from CHF 8361 to 22,855 (EUR 7500 to 20,500) if maintained for three months, or from CHF 33,912 to 92,692 (EUR 31,832 to 87,012) if maintained for 12 months.

Discussion

Key findings

We performed a retrospective observational study on 855 ICU patient-days to assess the frequency and adequacy of stress ulcer prophylaxis prescription in a tertiary ICU of a Swiss university hospital. We found that stress ulcer prophylaxis was prescribed in 92.8% of patients (796 patientdays), that it mainly consisted of esomeprazole and that the main indications were mechanical ventilation, prolonged ICU stay and shock. We found that stress ulcer prophylaxis prescription was inadequate in 65.2% of cases, mostly because it was prescribed in the absence of a recognised indication. More surprisingly, we found that even in the absence of a new indication for acid-suppressive therapy, stress ulcer prophylaxis was maintained on ICU discharge in almost 50% of patients and on hospital discharge in more than 25% of patients. Despite the relatively low cost of the medication, our health economics analysis demonstrates that this inadequate prescription is associated with only minor in-hospital additional costs, but with potentially significant costs when continued after discharge.

Comparison with previous studies

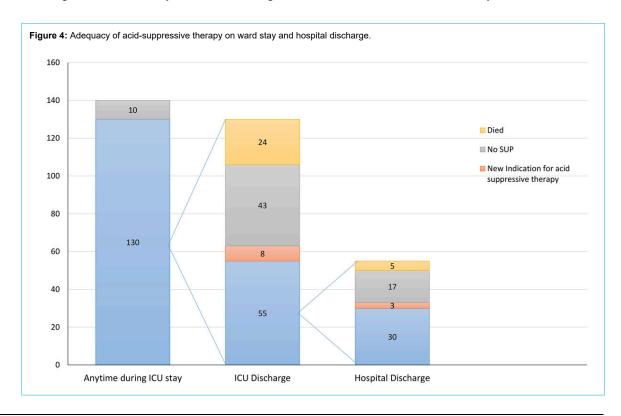
As summarised in supplementary table S2 (appendix 1), our results are largely consistent with data obtained in other settings and health care systems. In a Swiss general

surgery department [18], stress ulcer prophylaxis was administered without indication in 79% of the 67 evaluated patients and continued on hospital discharge in 33% of those with a de novo anti-acid prescription. In Australia, two studies reported very similar rates of inadequate continuation of acid-suppressive medication on ICUs (63%) and on hospital discharge (39 and 48.9%) [6, 10]. Similar observations were made in the United States, where 20-30% of ICU survivors appeared to have been discharged from hospital with an ongoing stress ulcer prophylaxis without indication [11, 13]. Finally, an international survey of 97 ICU in 11 countries suggested that in almost 20% of the participating sites, stress ulcer prophylaxis was not stopped upon discharge to the ward. Similarly, 31-39% of patients treated with stress ulcer prophylaxis during ICU stays were reported to be discharged from hospital with ongoing treatment [3].

The health economics aspects were also considered in other healthcare systems. Indeed, in a retrospective study involving five ICUs in Western Australia, it was estimated that during the study period, 1482 patients were inadequately prescribed PPI on hospital discharge [10]. The additional cost associated with these inadequate prescriptions was estimated to be 250,811 Australian dollars (AUD). Extrapolated across the entire country, translates into excess costs of AUD 22.4 million per year. In another study, Thomas et al. reviewed the pharmacy costs of more than 20,000 patients receiving PPI inappropriately after hospital admission over a four-year period and found that the inadequate global expense was approximately USD 3 million [14].

Implications for clinicians and policy makers

Our data confirm that stress ulcer prophylaxis is prescribed to almost all patients while in ICU. However, the rationale for this practice is increasingly challenged by recent literature. A recent network meta-analysis of randomised clin-



ical trials concluded that compared with no prophylaxis, PPIs were associated with a 1.6% absolute risk reduction in gastrointestinal bleeding, but also with a 3% increase in pneumonia [15]. This observation largely relies on observational data, as discussed by Barletta et al. in a systematic review [16]. In addition, several studies have suggested an association between stress ulcer prophylaxis, *C. difficile* infections and PPIs [16, 17, 19, 20]. Finally, a recent multicentre, blinded and randomised study in ICU patients at risk of gastrointestinal bleeding failed to demonstrate a benefit of pantoprazole in terms of 90-day mortality. There was also no difference in terms of a composite outcome of new-onset pneumonia, CDI or acute myocardial infarction [21].

Hence, the indication for stress ulcer prophylaxis initiation should be weighed against its potential risks. In addition, it is of paramount importance to regularly review and challenge stress ulcer prophylaxis prescription throughout the ICU stay [22]. Our data suggest that in a modern tertiary ICU with protocoled indications, this is still not the case, and the therapy is inadequately maintained in a large number of patients all the way to hospital discharge. Some data have suggested that a pharmacist-driven medication review could significantly decrease this rate [11] and perhaps should be implemented in clinical practice. Indeed, such a medication review would probably improve protocol adherence and drug interaction identification and avoid common prescription errors.

Strength and limitations

This study has several strengths. First, it includes all consecutive patients admitted to our ICU during the study period. Second, a thorough evaluation of medical prescription on a day-to-day basis was conducted and detailed results are provided on a patient-day basis. Third, our intensive care unit is a large and multidisciplinary one with established guidelines for therapy initiation.

However, it also has several limitations worth discussing. First, it is a retrospective study and our findings might have been influenced by documentation bias. In addition, only a limited number of patients were included. However, given the magnitude of the effect observed, a chance finding appears unlikely and efforts were made to perform an exhaustive data collection. In addition, the characteristics of the patients included in the study are very similar to those observed in our general ICU population in terms of age, severity, admission diagnoses and length of stay. Hence, sample bias appears unlikely. Second, this is a single centre study and its external validity cannot be ascertained. However, our data is largely consistent with previously published data from other health care systems. Third, the indications for prescriptions, including an ICU stay longer than seven days, might be debatable and might have influenced the number of inadequate prescriptions. Nevertheless, this is highly unlikely to modify our conclusions. Finally, our evaluation of the financial impact of inadequate stress ulcer prophylaxis prescription after hospital discharge is largely hypothetical, and we can only provide a reasonable range of potential costs. A prospective study would be required to assess the real costs associated with inadequate post-discharge anti-acid therapy maintenance.

Conclusions

Stress ulcer prophylaxis was prescribed to the vast majority of patients admitted to the ICU. This prescription was inappropriate (not indicated or not prescribed while indicated) in almost two thirds of ICU patient-days. Moreover, this prescription was erroneously maintained in a very large number of patients both on the ICU and after hospital discharge. Prescribers should be reminded of the stress ulcer prophylaxis guidelines and the need for a daily re-evaluation, especially on ICU discharge.

Financial disclosure

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Potential competing interests

The authors declare that they have no conflicts of interest.

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Appendix 1

Supplementary data

Table S1: Indications for acid suppressant therapy.

Table S2: Summary of studies reporting data on stress ulcer prophylaxis adequacy and its prescription on ICU / hospital discharge.

Figure S1: Cost estimations.

Figure S2: Simulated costs for inpatients.

Figure S3: Simulated costs for outpatients.

The appendix is available as a separate file at https://smw.ch/article/doi/smw.2020.20322.